

HR PDMP Grant Funding

DECEMBER 12, 2016



Purpose of Today's Webinar

- ❑ Orient newer PDMP Administrators to the HR PDMP solicitation.
- ❑ Describe the types of initiatives that have been funded in the past with different categories of funding.
- ❑ Discuss the release of the FY 2017 solicitation.
- ❑ We will NOT be discussing the content of the FY 2017 solicitation on today's webinar. There will be a second webinar conducted upon the release of the FY 2017 solicitation to go over the details of applying.

Recent HR PDMP Grant Award History

Year	# of Implementation and Enhancement Awards	# of Data Driven Grant Awards	% of Applications Funded
FY 2016	12 awards	8 awards	91%
FY 2015	12 awards	7 awards	54%
FY 2014	9 awards	6 awards	55%

Changes in FY 2017

- ❑ You can find the HR PDMP solicitation, when it is released, on BJA's website or on www.grants.gov. TTAP will also send notice of the solicitation's release in an email blast. The solicitation will likely be released in quarter 2 (January – March 2017).
- ❑ Changes in the FY 2017 solicitation, if any, will be discussed during a webinar that will be held upon the release of the solicitation. Announcements about this webinar will be made through the TTAP website/email blast that goes out and on BJA's website. The webinar will not be scheduled until after the release date of the solicitation.

Changes in FY 2017

- ❑ *The HR PDMP solicitation that has historically been released as a stand-alone solicitation will be released in FY 2017 as part of BJA's Comprehensive Opioid Abuse Site-based grant solicitation (AKA CARA solicitation). THIS IS A CHANGE. This does NOT impact the amount of funding available for HR PDMP grants nor does it impact the HR PDMP categories. It also does not impact how the applications will be scored.*
- ❑ As has been true in the past, we anticipate that localities and states will be permitted to apply for multiple categories of funding within the solicitation without negatively impacting their likelihood of winning specific categories. Applications submitted for different funding categories should be unique to the category and not be duplications of the same project.

Remainder of the Webinar

The remainder of the webinar will be based on the FY 2016 solicitation (AKA last year's solicitation). This will allow webinar participants to become comfortable with the components of the solicitation. Applicants should review the FY 2017 solicitation, when it is released, for the specifics of the FY 2017 application.

FY 2016 Categories of Funding

Category	Length of Award	Amount of Award	Eligible Applicant
Category 1: PDMP Implementation and Enhancement Grants	24 months	Up to \$400,000	State governments that have a pending or enacted enabling statute for PDMPs.
Category 2: Data-Driven Responses to Prescription Drug Abuse	12 – 36 months	Up to \$600,000	State agencies and units of local government located in states with existing and operational prescription drug monitoring programs, and federally recognized Indian tribal governments (as determined by the Secretary of the Interior). Regions can apply although one entity must hold the grant.

Allowable Uses of Category 1 PDMP Implementation or Enhancement Grants

Funds may be used to:

- Establish and build a data collection and analysis system.
- Develop or enhance an infrastructure to support programmatic activities.
- Facilitate the exchange of information and collected prescription data and other scheduled chemical products among states in compliance with the National PMIX Architecture.
- Facilitate and support collaborations with law enforcement, treatment providers, drug courts, public health, etc.
- Develop or enhance a training program for system users.
- Produce and disseminate educational materials.
- Develop or enhance the capacity to provide unsolicited reports of controlled substance prescribing to authorized entities.
- Support integration with electronic health records/health information exchanges.
- Implement enhancements that support electronic prescribing.

Allowable Uses of Category 1 PDMP Implementation or Enhancement Grants

Examples of funded projects in FY 2016:

- ❑ Improve the timeliness of data by reducing the data collection interval from weekly to daily.
- ❑ Increase the capacity to analyze and use collected data to guide the improvement of the PDMP.
- ❑ Increase utilization of data for public health monitoring and surveillance of prescription drug abuse.
- ❑ Implement a PDMP risk indicators surveillance and notification module.
- ❑ Develop a mechanism for determining which pharmacies are not reporting daily as required by law and communicating this information more efficiently to the BOP.
- ❑ Automate unsolicited reporting.
- ❑ Complete the upgrade to the ASAP 4.2 standard and implement daily reporting.
- ❑ Perform security testing and maintenance to ensure the data stays encrypted and secure. PMP will hire a security firm to enhance and update the PDMP's infrastructure.
- ❑ Determine the impact and effectiveness of mandated PDMP enrollment - consider the resources needed, benefits and disadvantages, and survey healthcare associations.

Allowable Uses of Category 2 Data-Driven Responses to Prescription Drug Abuse

Funds may be used to:

- ❑ Form multidisciplinary action groups that may include (but are not limited to): PDMP administrators, district attorney's office, state or local health department, state medical and pharmacy boards, police and sheriff departments, probation and parole, local drug treatment providers, drug courts, and community organizations.
- ❑ Collect and analyze data from various sources such as medical examiners, emergency rooms, crime data, and other relevant sources that can help to corroborate PDMP data as well as provide additional information to help pinpoint specific locations within the jurisdiction that are at-risk for prescription drug abuse and drug overdose deaths.
- ❑ Establish effective policy and regulatory schemes, and support investigations, as well as best practices for treatment intervention and prevention efforts for at-risk individuals and communities.
- ❑ Use data identify areas at greatest risk for prescription drug abuse and overdose deaths and create data-driven responses at the local or state level to include education, outreach, treatment, and enforcement.
- ❑ Evaluate the impact of specific PDMP-related practices.

Allowable Uses of Category 2 Data-Driven Responses to Prescription Drug Abuse

Examples of funded projects in FY 2016:

- ❑ Link PDMP data with ED, Death, Cancer Registry, and Inpatient data; Link Medical Examiner data and update overdose death cases monthly. Document linkage and quality assurance methodologies.
- ❑ Evaluate the impact of pharmacy computer integration with PDMPs.
- ❑ Assist the state PDMP to maintain and advance its current analytic capacity to monitor prescribing trends using de-identified prescription data with a focus on three high-risk groups: veterans, patients receiving concurrent prescriptions of OAC, and women of reproductive-age.
- ❑ Establish the ability to share statistical and/or de-identified data to track trends in drug use and abuse, conduct demographic analysis, geographical analysis, or any other analytical process that can demonstrably improve the understanding of local and regional issues and develop targeted, effective response strategies
- ❑ Match public safety data across Sequential Intercepts to identify greatest risk periods and develop regional strategies to prevent overdose among persons involved in the criminal justice system.
- ❑ Create prescriber report cards.
- ❑ Hire a Substance Abuse Coordinator who will work to streamline the various efforts to combat prescription and opioid abuse.
- ❑ Improve the ability to identify (through the analysis of PDMP and other datasets) and conduct direct outreach and engagement with individuals connected to overdose decedents, including healthcare providers and family members.
- ❑ Provide PDMP access to Drug Courts and develop best practices in accessing the system to ensure compliance of Drug Court participants.

Selection Criteria

Program narrative (20 pages, double spaced) must address:

- Statement of the Problem (15% of total score)
- Program Design and Implementation (40% of total score)
- Capabilities and Competencies (15% of total score)
- Plan for Collecting the Data Required for this Solicitation's Performance Measures (10% of total score)
- Impact/Outcomes, Evaluation, and Sustainment (10% of the total score)
- Budget (10% of total score)

Priority Considerations

- ❑ Priority considerations may vary from year to year. In FY 2016, the priority considerations were detailed on pages 6 and 7 of the HR PDMP solicitation.
- ❑ Additional points are added to the applicant's score if the applicant successfully addresses one or more priority considerations.

Additional Attachments

List begins on page 19 of the FY 2016 HR PDMP Solicitation

- Letters of Support and/or Memoranda of Understanding
- Logic Model
- Project Timeline
- Position Descriptions
- Applicant Disclosure of Pending Applications
- Research and Evaluation Independence and Integrity (if applicable)
- Financial Management and System of Internal Controls Questionnaire
- Disclosure of Lobbying Activities

Statement of the Problem

For Category 1 Implementation Applicants:

- Discuss the efforts that have been taken to implement the system.
- In which department/agency the program will be housed including how many personnel are required to staff operations of the PDMP?
- How many prescribers and dispensers are there are in the state?
- Are there any problems they anticipate in implementing a program/pilot full scale?
- If funds will be used to fund additional PDMP personnel, provide the percentage increase of total staff in addition to detailing what additional staff roles will be and how additional positions will contribute to meeting the overall goals of the project.
- Provide any relevant data on prescription drug abuse rates in the applicant state along with any other data that sheds light on the identified problem and how they may inform project objectives and performance targets.

Statement of the Problem

For Category 1 Enhancement Applicants:

- ❑ Discuss the current registration and utilization of the system by prescribers, dispensers, and law enforcement
- ❑ State the total number of prescribers in the past year that have prescribed a controlled substance, including the percentage of those prescribers who are registered with the state PDMP.
- ❑ State the percentage of prescribers who have prescribed a controlled substance in the past month who have checked the PDMP database.
- ❑ Describe the current training and registration efforts taken to date; results of any completed program analysis or evaluation; and the weaknesses of the current system.
- ❑ For applicants proposing to implement information sharing with other state PDMPs using the PMIX specifications, discuss the need for interstate data sharing, describe the current barriers in place to implement interstate data sharing, and discuss the efforts that have been taken to implement interstate data sharing.
- ❑ Provide any relevant data on prescription drug abuse rates in the applicant state along with any other data that sheds light on the identified problem and how they may inform project objectives and performance targets.

Statement of the Problem

For Category 2 applicants:

- ❑ Identify the major impact areas where detailed evaluation or study is needed, including data or policy analysis that supports the problem statement.
- ❑ Clearly identify the communities, state or regions that will be included in the evaluation.
- ❑ Discuss the prescription drug abuse problem that you plan to address in the identified community or state based on current data. If applicable, state the projected number of participants to be serviced through the project and target population characteristics.
- ❑ Provide any relevant data on prescription drug abuse rates in the applicant state, PDMP utilization data (if available), along with any other data that sheds light on the identified problem and how they may inform project objectives and performance targets.

Project Design and Implementation

For Category 1 Applicants:

- ❑ Summarize the current strategy to reduce the abuse and diversion of pharmaceutical controlled substances, and how the proposal supports or expands upon it.
- ❑ Describe current law enforcement activities, public health initiatives, and/or government and industry partnerships addressing this problem and describe how the state's PDMP fits into this strategy.
- ❑ Where relevant, identify the statutory authority and/or restrictions for the prescription drug monitoring database, the state agency that has been designated to carry out the legislative mandates, and how the applicant agency is positioned to implement the activities proposed.
- ❑ For applications that are proposing to implement information sharing with other state PDMPs using the PMIX Architecture, identify the authority (either through statute or regulation) that allows information sharing with other states and describe the agency's capacity and readiness to implement the activities required for information sharing.
- ❑ Describe what the applicant proposes to do to address the problem and how it will be carried out.
- ❑ If applicable, describe how the applicant will address the priority considerations.
- ❑ Attach a logic model and a project timeline.

Project Design and Implementation

For Category 1 Applicants (continued):

- ❑ Explain how each task will support and/or enhance the development of the PDMP and/or the local, state, or tribal government's capacity to respond to prescription drug abuse in the identified community. Explain the targets for each goal.
- ❑ Identify who the applicant plans to collaborate with (e.g., state, regulatory, and law enforcement officials; public health officials; substance abuse treatment agencies; researchers; etc.), their responsibilities, and how they will support the project and provide outreach to the community.
- ❑ Describe the strategy to collaborate with other public and private agencies and organizations as appropriate. Include any previous collaboration that occurred that will help to achieve these goals.
- ❑ Explain existing partnership agreements, and specify what steps must be taken to formalize new ones.

Project Design and Implementation

For Category 2 Applicants:

- ❑ Identify the key stakeholders, including research partners, that will be engaged in the effort.
- ❑ Articulate how an in-depth evaluation of the subject will provide meaningful insights into solving local, state, or regional challenges, while contributing to the national body of knowledge with respect to PDMP best practices.
- ❑ Describe the proposed partnership and the various members of the action group and what efforts have been taken to include local, state, and federal partners.
- ❑ Discuss what the individual roles will be of each of the team members and who will be responsible for which particular duties and responsibilities.
- ❑ Discuss what data sources will be collected and any legal, policy, or other barriers to gaining access to the data and how those barriers will be addressed.
- ❑ Discuss who will provide analysis of the data, once collected, and how the analyses will be applied to inform the development of data-driven local strategies.
- ❑ Describe the type of strategies to be developed and the areas (e.g., prevention, treatment, regulatory activity, enforcement) the action group plans to have an impact on prescription drug abuse rates in the defined jurisdiction(s).

Project Design and Implementation

Category 2 (continued):

- ❑ Describe how best practices will be identified and shared for data sharing, regulatory schemes, deconfliction strategies, intelligence gathering, targeted regulatory and enforcement activity, and prioritization of treatment and prevention efforts for at-risk individuals and communities.
- ❑ Explain how data will be used to identify areas at greatest risk for prescription drug diversion, abuse, and overdose deaths and create data-driven responses at the local level to include education, outreach, treatment, and enforcement.
- ❑ Identify who the applicant plans to collaborate with (e.g., state, regulatory, and law enforcement officials; public health officials; substance abuse treatment agencies; researchers; etc.), their responsibilities, and how they will support the project and provide outreach to the community.
- ❑ Describe what the applicant proposes to do to address the problem and how it will be carried out.
- ❑ If applicable, describe how the applicant will address the priority considerations.
- ❑ Attach a logic model and a project timeline.

Project Design and Implementation

Category 2 (continued):

- ❑ Explain how each task will support and/or enhance the development of the PDMP and/or the local, state, or tribal government's capacity to respond to prescription drug abuse in the identified community. Explain the targets for each goal.
- ❑ Describe the strategy to collaborate with other public and private agencies and organizations as appropriate. Include any previous collaboration that occurred that will help to achieve these goals.
- ❑ Explain existing partnership agreements, and specify what steps must be taken to formalize new ones.
- ❑ Describe any reports or publications (including a mandatory final report to be submitted to BJA before the grant end date), and include a plan for dissemination at national meetings and virtual forums so that others can benefit from the results.

Capabilities and Competencies

- ❑ Describe the management structure and staffing, specifically identifying the key person (or people) responsible for carrying out program activities.
- ❑ Demonstrate the capability to implement the project successfully both from an individual and an organizational standpoint. Attach position descriptions for key personnel.
- ❑ Demonstrate the capability of the implementing agency and collaborative partnerships to implement the project effectively, including gathering and analyzing data, engaging and collaborating with partners, developing and implementing a plan and troubleshooting implementation problems, and working with research partners to evaluate a project.
- ❑ Describe and provide evidence of the types and quality of data sources available to the agency to conduct appropriate analysis.
- ❑ For applications involving a research component, describe the qualifications of the research partner and the prior experience of the researcher with “action research,” including prior work with drug monitoring and substance abuse agencies and other partners.

Plan for Collecting the Data Required for the Solicitation

- ❑ Explain how the state or local jurisdiction will know if the program works in order to assess the impact of its efforts.
- ❑ Describe the data the applicant has access to and/or will collect to show a reduction in diversion, abuse, and inappropriate use as a result of program implementation or enhancement.
- ❑ Explain what will be measured, how the information will be used, and who is responsible for reporting on BJA performance measures. Current grantees should describe their progress toward compliance with current BJA performance measurement data reporting.
- ❑ Identify a plan for responding to BJA performance measures and who will be responsible for data collection and reporting.

For Category 2 applicants:

- ❑ If applicable, Category 2 applicants should also provide an overview of the research questions to be answered in the proposed project.

Impact, Outcomes, Evaluation and Sustainment

- ❑ Describe how performance will be documented, monitored, and evaluated, and identify the impact of the strategy once implemented. BJA expects that grantees should be able to report timely, complete, and accurate data in the required grant reports.
- ❑ Outline what data and information will be collected and describe how evaluation and collaborative partnerships will be leveraged to build long-term support and resources for the program.
- ❑ Describe how grant-funded activities and partnerships will be leveraged to build long-term support and resources to sustain the PDMP, tribal data sharing initiative, or multidisciplinary action group when the federal grant ends.
- ❑ Describe the policies, statutes, and regulations that will need to be put in place to support and sustain service delivery.
- ❑ Identify potential sources of funding and a plan to transition from federal funding to continue longer-term services and initiatives.

If applicable, Category 2 applicants should:

- ❑ Describe a plan for the evaluation of the project and document a collaborative relationship with an objective, third-party evaluator such as a local college or university. Specifically identify and describe the partnership and collaboration.
- ❑ Describe how research findings will be disseminated at the state or national level including planned publications, briefs, and education outreach efforts.

Budget and Budget Narrative

Instructions begin on page 17 of the FY 2016 HR PDMP Solicitation

- ❑ In FY 2016, the solicitation did not require a match. However, if an applicant proposes a voluntary match amount, receives an award, and OJP approves their budget, the match amount becomes mandatory and subject to audit.
- ❑ The solicitation provides a link to a sample budget narrative. If you are new to BJA grants, this is a helpful tool for you so that you write your budget in the correct format.
- ❑ There are required meetings you must budget for – see instructions for details on those meetings.
- ❑ Be mindful of the non-competitive procurement thresholds (\$150,000).
- ❑ All grant awards are made by September 30th – your budget should be written to start October 1 and be written on a federal fiscal year (October 1 – September 30th).

Questions

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