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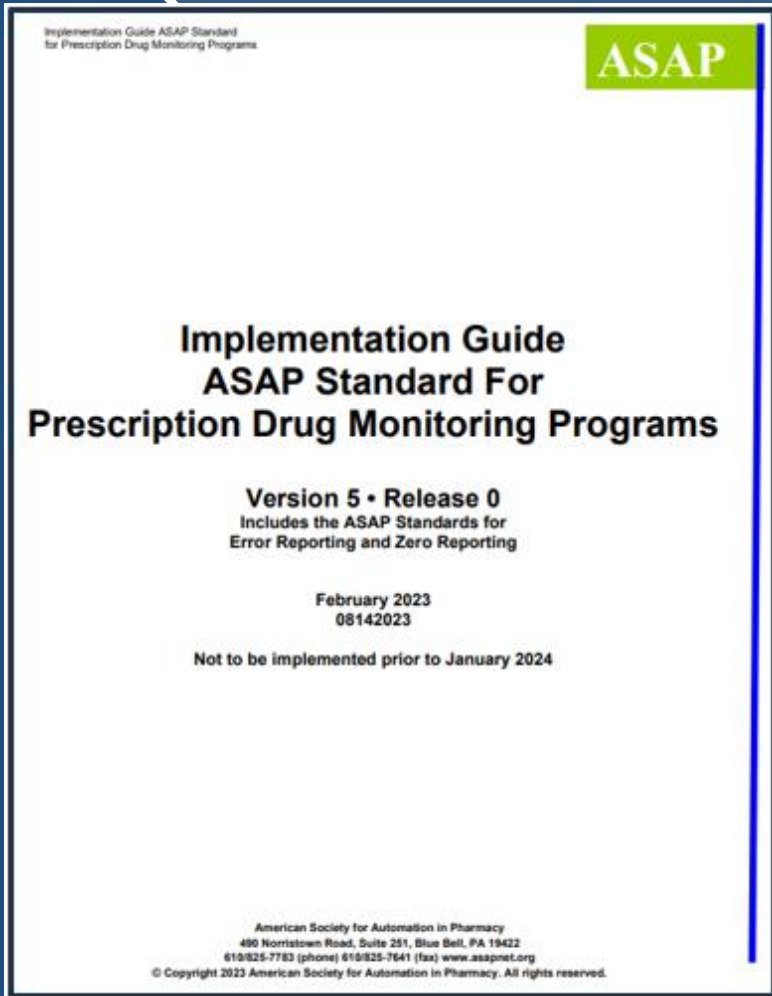
**Q&A follows the webinar**

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# ASAP UPDATES FOR PDMP ADMINISTRATORS



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# ASAP PDMP HISTORY

- **First implemented in 1995**
  - 2005 - ASAP v. 3
  - 2007 - ASAP v. 4.0
  - 2009 - ASAP v. 4.1
  - 2011 - ASAP v. 4.2
  - 2016 - ASAP v. 4.2A
  - 2019 - ASAP v. 4.2B

# ASAP VERSION BY STATE

- **4.1**

AK CA IA ID MI

- **4.2**

AR DC DE GA HI IN MN MO NJ NM  
NC ND PA PR SD UT WI WV WY

- **4.2A**

CT IL MA ME MT NE NH NV MP OH  
OR RI TN VA WA

- **4.2B**

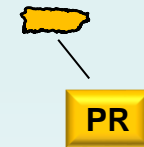
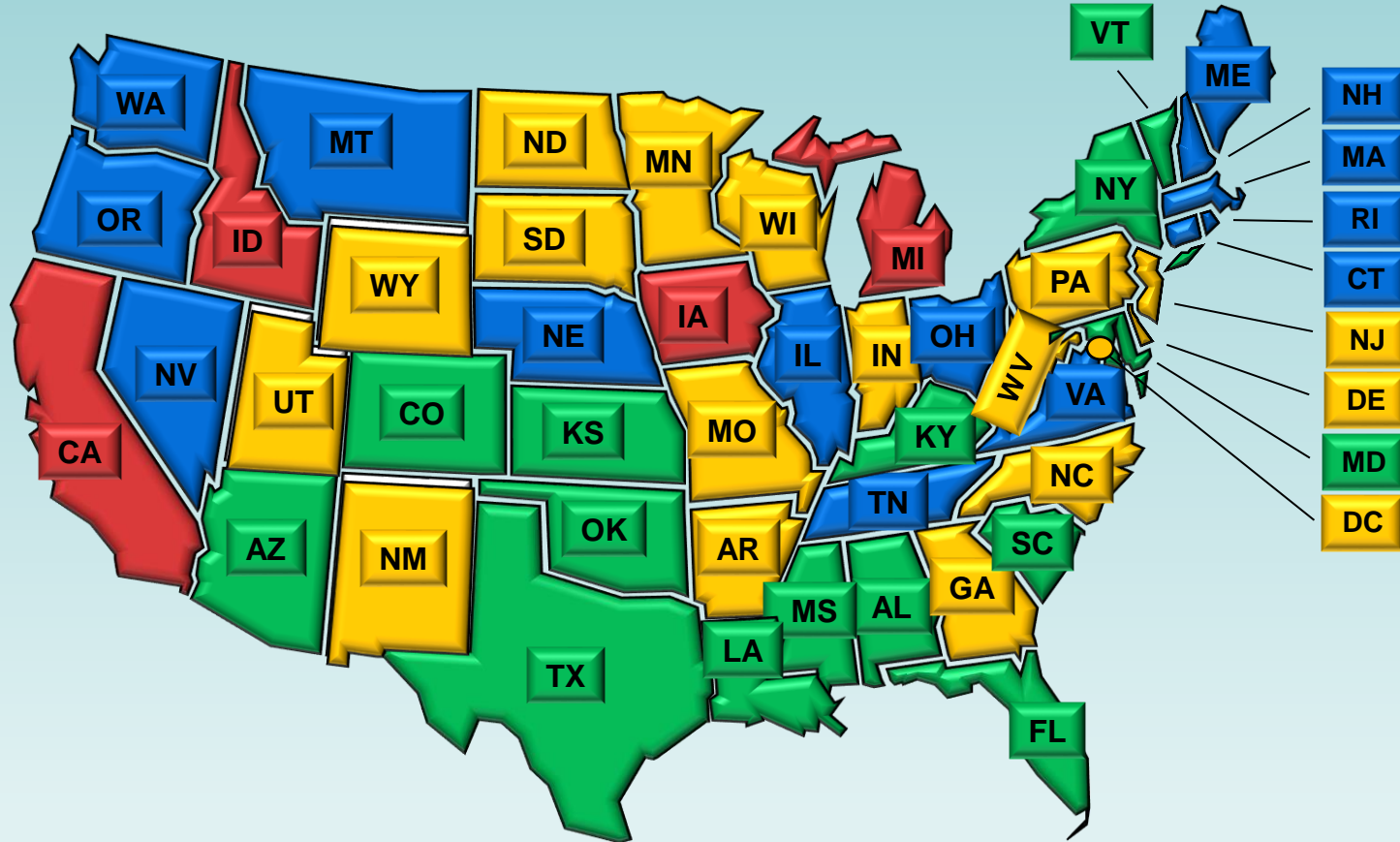
AL AZ CO FL GU KS KY LA MD MS  
NY OK SC TX VT

- **5.0**

TBD

# ASAP Versions by State

(as of January 2024)



- NH
- MA
- RI
- CT
- NJ
- DE
- MD
- DC



- ASAP 4.1
- ASAP 4.2
- ASAP 4.2A
- ASAP 4.2B

Some states may allow multiple versions

# IMPLEMENTATION GUIDE PROCESS

- **Solicited Input From Stakeholders**

PDMP administrators

Vendors

- **Informational Webinar**

PDMP TTAC - May 2022

- **Multiple Stakeholder Meetings**

November 2022 - January 2023

20+ vendors

20+ PDMP administrators

- **Published March 2023**

- **NASCSA Webinar**

April 2023

# RECURRING MAJOR THEMES

- **Data Completeness**

Compliance

Integrity

- **Patient Matching**

Name

Address

- **Opioid Treatment Programs**

- **CBD/Medical Marijuana**

- **Veterinary Dispensing**



# SUMMARY OF CHANGES

Segment	ASAP 4.2A Fields	ASAP 4.2B Fields	ASAP 5.0 Fields
TH (Transaction Header)	9	9	9
IS (Information Source)	3	3	5
PHA (Pharmacy Header)	13	13	15
PAT (Patient Information)	23	23	29
DSP (Dispensing Record)	25	25	36
PRE (Prescriber Information)	9	10	14
CDI (Compound Drug Ingredient Detail)	5	5	6
AIR (Additional Information Reporting)	11	11	29
TP (Pharmacy Trailer)	1	1	1
TT (Transaction Trailer)	2	2	2
TOTAL FIELDS	101	102	146

# OPTIONALITY

- **Required**
- **Optional**
- **Situational**
  
- Aligns with other standards (e.g., HL7, NCPDP)
- State-specific codes/criteria
  - RR
  - N
  - P

# TH - TRANSACTION HEADER

- **TH06 (Creation Time)**
  - Report in Coordinated Universal Time (UTC)
  - DSP26 (Time Written)
  - DSP27 (Time Filled)
  - DSP28 (Time Sold)

# IS – INFORMATION SOURCE

- **IS04 (Pharmacy Dispensing Software Vendor)**
  - New field
  - This field is used to identify the vendor of the pharmacy dispensing software
- **IS05 (Pharmacy Software Vendor Phone Number)**
  - New field

# PHA – PHARMACY HEADER

- **PHA02 (NCPDP Provider ID)**
- **PHA14 (Pharmacy/Dispenser Type)**

New field

This field is used to identify specific types of pharmacies or dispensers

Qualifiers

01 = Pharmacy

04 = Veterinarian

02 = Opioid Treatment Program

99 = other

03 = Cannabis dispensary

- **PHA15 (Mail Order Pharmacy)**

New field

01 = Yes      02 = No

# PAT – PATIENT INFORMATION

- **Patient Name**

- **PAT07, PAT08, PAT09**

Information should be reported according to the most recently published version of the American Health Information Management Association (AHIMA) Naming Policy Framework 2023: Essential Person Demographic Data Elements for Electronic Health Information

- **Report the patient's complete legal name as listed on a government-issued ID**

- **Do NOT report extraneous information or comments**

Deliver to back door, check ID, delivery, nursing home

- **Do NOT report special characters, other than hyphen**

New fields for nickname, alias, previous name, or mother's maiden name

# PAT – PATIENT INFORMATION

- **Address**

- PAT12 - PAT16

- Information should be reported according to the most recently published version of the Project US@ Technical Specification for Patient Addresses

- PHA05 - PHA09

- Information should be reported according to the most recently published version of the Project US@ Technical Specification for Patient Addresses

# PAT – PATIENT INFORMATION

- **PAT02, PAT05 (ID Qualifier)**  
New Qualifier
  - 11 = Medicaid Recipient ID Number
- **PAT21 (Patient Location Code)**  
New Qualifiers
  - 12 = Homeless/Transient care
  - 13 = Farm
  - 14 = Zoo
  - 15 = Circus/Traveling show



# PAT – PATIENT INFORMATION

- **PAT19 (Gender)**

F – Female      M – Male      U – Unknown/Undisclosed  
N – Nonbinary    X – Unspecified/Other

- **PAT24/PAT25 (Patient Preferred or Alias Last/First Name)**

- **PAT26 (Patient Race Category)**

USCDI standards or CDC

- **PAT27 (Patient Ethnicity)**

USCDI standards or CDC

# PAT – PATIENT INFORMATION

- **Veterinary Patient**
- **PDMP TTAC/NASCSA Recommended Best Practices for Veterinary Prescriptions (11/2021)**
  - Should be owner's name and DOB if for an animal, or as required by state regulation
  - Use PAT20 to indicate if for a veterinary patient and enter name of animal in PAT23
  - If ownership by an entity: Enter information of PERSON responsible for the care of the animal or who arranges for the animal's veterinary care
- **PAT28 (Veterinary Species Code)**
- **PAT29 (Animal Location Code)**

# DSP – DISPENSE RECORD

- **DSP04 (Refills Authorized)**  
Increase 2 → 4 digits
- **DSP06 (Fill Number)**  
Increase 2 → 4 digits
- **DSP07 (Product ID Qualifier)**  
Add qualifier for cannabis
- **DSP08 (Product ID)**  
Accepts 15 digits
- **Time Fields**  
Report in Coordinated Universal Time (UTC)  
DSP26 (Time Written)  
DSP27 (Time Filled)  
DSP28 (Time Sold)

# DSP – DISPENSE RECORD

- **DSP12 (Transmission Form of Rx Origin Code)**  
New Qualifiers  
\* Future option for Telehealth?
- **DSP06 (Fill Number)**  
Increase 2 → 4 digits
- **DSP07 (Product ID Qualifier)**  
Add qualifier for cannabis
- **Time Fields**  
Report in Coordinated Universal Time (UTC)  
DSP26 (Time Written)  
DSP27 (Time Filled)  
DSP28 (Time Sold)

# DSP – DISPENSE RECORD

- **DSP29/DSP30 (Total Quantity Remaining on Prescription)**

Align with DSP09/DSP11

11 digits (nnnnn.nnnnn)

- **Payment Type**
  - DSP31 (Discount Card)  
01 – Yes      02 – No
  - DSP32 (Classification Code for Additional Payment Type)  
Mirrors DSP16
  - DSP33 (Discount Card for Additional Payment Type)

# DSP – DISPENSE RECORD

- **DSP34 (DEA Schedule/State Designation) and CDI06**

01 - Cannabis and Cannabis Extract (e.g., THC, marijuana)

02-05 - State or DEA Schedule

06 - State-designated Other Controlled Substance or Drug of Concern

07 - CBD

99 - Legend or Non-controlled Substance

- **DSP35/DSP36 (Name or Initials of Pharmacist Filling the Prescription)**

Moved from AIR10/AIR11

# PRE - PRESCRIBER INFORMATION

- **PRE09 (XDEA Number)**
  - Field decommissioned due to DEA eliminating DATA-Waiver Program per Consolidated Appropriations Act of 2023
  - Field will not be used for other purposes

# PRE - PRESCRIBER INFORMATION

- **Prescriber Location**

**Aligns with SUPPORT Act**

“(1) The program facilitates access by a covered provider to, at a minimum, the following information with respect to a covered individual, in as close to real-time as possible:

“(C) The name, location, and contact information (or other identifying number selected by the State, such as a national provider identifier issued by the National Plan and Provider Enumeration System of the Centers for Medicare & Medicaid Services) of each covered provider who prescribed a controlled substance to the covered individual during at least the most recent 12-month period.”

**Information should be reported according to the most recently published version of the Project US@ Technical Specifications for Patient Addresses**



# PRE - PRESCRIBER INFORMATION

- **PRE11 (Prescriber Address Information - 1)**
- **PRE12 (Prescriber Address Information - 2)**
- **PRE13 (Prescriber City Address)**
- **PRE14 (Prescriber State Address)**
- **PRE15 (Prescriber Zip Code Address)**

**Recommendation:** Information should be reported according to United States Postal Service Publication 28-Postal Addressing Standards or the most recently published version of the ONC Project US@ Technical Specifications for Patient Addresses.

# AIR - ADDITIONAL INFORMATION REPORTING

- **AIR11 (Dropping Off/Picking Up Identifier Qualifier)**

Add qualifier

01 = Person Dropping Off

02 = Person Picking Up

03 = Mailing/Shipping

- Separated fields for Person Picking Up Rx (AIR03-19) vs. Person Dropping Off Rx (AIR20-32)

# EARLY/INTERESTED ADOPTERS

- **Several states interested in implementation in 2024**
- **Collaboration between state PDMP and vendors**
- **Contact PDMP TTAC ([pdmppttac@iir.com](mailto:pdmppttac@iir.com)) if interested in participating in ASAP 5.0 Workgroup**

# NEXT STEPS FOR IMPLEMENTATION OF 4 Cs

## 1. **Communication**

- Contact PDMP vendor
- Contact pharmacy software vendors
- Contact pharmacies and other dispensers
  - Dispensing physicians
  - Veterinarians
  - Veterans Administration
- Timeline

# NEXT STEPS FOR IMPLEMENTATION OF 4 Cs

## **2. Collaboration**

- Determine which, if any, new fields to report
- Pharmacy software and PDMP vendors test

## **3. Cooperation**

- Work together
- Extensive testing

## **4. Compliance**

- Data quality, integrity
- Complete, accurate

# IF INTERESTED IN ASAP 5.0

- **Bill Lockwood**
  - Executive Director, ASAP
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- **PDMP TTAC**
  - Pat Knue
  - Don Vogt
  - Kevin Borchert
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