# PDMP TTAC
Prescription Drug Monitoring Program Training and Technical Assistance Center

# Indiana

| | | | |
|---|---|---|---|
| PDMP acrony | INSPECT | Region | North |
| Agency Responsib | Indiana Professional Licensing Agency | | |
| Agency Typ | Professional Licensing Agency | | |

## PDMP Web Resources/Links

| | |
|---|---|
| PDMP Websit | https://www.in.gov/pla/inspect/ |
| PDMP Ema | inspect@pla.in.gov |
| PDMP Register Websi | http://www.in.gov/pla/inspect.htm |
| User Account Manu | https://www.in.gov/pla/inspect/files/IN-Prescriber-Report-User-Guide_v2-Interactive.pdf |
| PDMP Query Websi | http://www.in.gov/pla/inspect.htm |
| PDMP Data Upload Websi | |
| Data Upload Manu | https://www.in.gov/pla/inspect/files/2022-IN-PMP-Data-Submission-Dispenser-Guide_v-3_0.docx |
| Statute/Regulation Websit | https://www.in.gov/pla/inspect/laws-and-regulations/ |
| Opioid Guidelines Websi | |
| PDMP FAQ | https://faqs.in.gov/hc/en-us/search?utf8=%E2%9C%93&query=INSPECT |
| Integration Guidanc | https://www.in.gov/pla/inspect/inspect-integration-initiative/ |
| PDMP Statistics Websi | |
| Opioid Dashboard Websi | https://www.in.gov/recovery/1054.htm |
| Training Websit | https://www.in.gov/pla/inspect/instructional-videos/ |

## PDMP Contact Information

| | |
|---|---|
| Contact Name: | Slusser, Kara - Director of INSPECT |
| Address: | 402 W Washington St, W072 |
| City State Zip: | Indianapolis IN 46204 |
| Telephone: | 3172348039 |
| Fax: | 3172334236 |
| Email: | kslusser@pla.in.gov |

# State Population and Number of Registrants

State population: 6,892,124　　DEA Prescriber total: 37,870　　DEA Dispenser total: 1,258

## Data Transmitter(s)

Dispensing Practitioner

Dispensing Veterinarians

Pharmacy (In-State)

Pharmacy (Mail Order In-State)

Pharmacy (Mail Order Out of State)

Pharmacy (Other Out of State)

Veterans Administration

## Substances Monitored

Drugs of Concern

Schedules II - V

## Alternate Data Sources

Naloxone/Narcan Dispensations

Substance Use Disorder Treatment Options

## Available Reports

Data Dashboards

Geomapping of Prescription Data

Licensee Reports to Licensing Board

Lost/Stolen Prescription Reports

MME Calculations

Multiple Provider Episode Reports

Patient Query Lists to Dispensers

Patient Query Lists to Law Enforcement

Patient Query Lists to Licensing Boards

Patient Query Lists to Prescribers

Patient Reports to Dispensers

Patient Reports to Law Enforcement
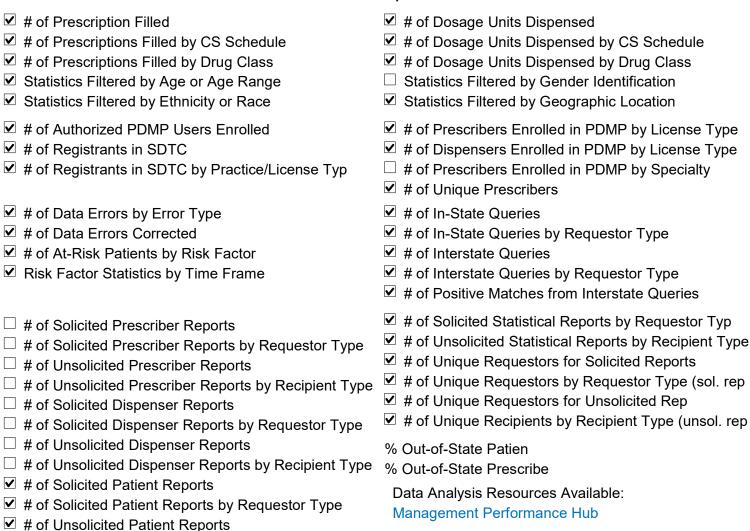
Patient Reports to Prescribers

Patient Reports with Summary Data

Prescriber Reports to Law Enforcement

Prescription Drug Combinations

Registrant Query Lists to Law Enforcement

Registrant Reports to Prescribers

Risk Scores

Statewide Statistics

# Statistical Capabilities

- ☑ # of Prescription Filled
- ☑ # of Prescriptions Filled by CS Schedule
- ☑ # of Prescriptions Filled by Drug Class
- ☑ Statistics Filtered by Age or Age Range
- ☑ Statistics Filtered by Ethnicity or Race

- ☑ # of Dosage Units Dispensed
- ☑ # of Dosage Units Dispensed by CS Schedule
- ☑ # of Dosage Units Dispensed by Drug Class
- ☐ Statistics Filtered by Gender Identification
- ☑ Statistics Filtered by Geographic Location

- ☑ # of Authorized PDMP Users Enrolled
- ☑ # of Registrants in SDTC
- ☑ # of Registrants in SDTC by Practice/License Typ

- ☑ # of Prescribers Enrolled in PDMP by License Type
- ☑ # of Dispensers Enrolled in PDMP by License Type
- ☐ # of Prescribers Enrolled in PDMP by Specialty
- ☑ # of Unique Prescribers

- ☑ # of Data Errors by Error Type
- ☑ # of Data Errors Corrected
- ☑ # of At-Risk Patients by Risk Factor
- ☑ Risk Factor Statistics by Time Frame

- ☑ # of In-State Queries
- ☑ # of In-State Queries by Requestor Type
- ☑ # of Interstate Queries
- ☑ # of Interstate Queries by Requestor Type
- ☑ # of Positive Matches from Interstate Queries

- ☐ # of Solicited Prescriber Reports
- ☐ # of Solicited Prescriber Reports by Requestor Type
- ☐ # of Unsolicited Prescriber Reports
- ☐ # of Unsolicited Prescriber Reports by Recipient Type
- ☐ # of Solicited Dispenser Reports
- ☐ # of Solicited Dispenser Reports by Requestor Type
- ☐ # of Unsolicited Dispenser Reports
- ☐ # of Unsolicited Dispenser Reports by Recipient Type
- ☑ # of Solicited Patient Reports
- ☑ # of Solicited Patient Reports by Requestor Type
- ☑ # of Unsolicited Patient Reports
- ☑ # of Unsolicited Patient Reports by Recipient Type

- ☑ # of Solicited Statistical Reports by Requestor Typ
- ☑ # of Unsolicited Statistical Reports by Recipient Type
- ☑ # of Unique Requestors for Solicited Reports
- ☑ # of Unique Requestors by Requestor Type (sol. rep
- ☑ # of Unique Requestors for Unsolicited Rep
- ☑ # of Unique Recipients by Recipient Type (unsol. rep

% Out-of-State Patien
% Out-of-State Prescribe

Data Analysis Resources Available:

Management Performance Hub

# Authorized PDMP Users

Correctional Supervision/Solicited In-State
Dispenser Delegates - Licensed/Solicited In-State
Dispenser Delegates - Unlicensed/Solicited In-State
Dispensers - Pharmacies/Solicited In-State
Dispensers - Pharmacies/Solicited Out-of-State
Dispensers - Pharmacies/Unsolicited In-State
Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Solicited Out-of-State
Dispensers - Pharmacists/Unsolicited In-State
Dispensers - Pharmacists/Unsolicited Out-of-State
Drug Courts/Solicited In-State
Drug Treatment Providers/Solicited In-State
Law Enforcement - Federal/Solicited In-State
Law Enforcement - Federal/Solicited Out-of-State
Law Enforcement - Federal/Unsolicited In-State
Law Enforcement - Local/Solicited In-State
Law Enforcement - Local/Solicited Out-of-State
Law Enforcement - Local/Unsolicited In-State
Law Enforcement - State/Solicited In-State
Law Enforcement - State/Solicited Out-of-State
Law Enforcement - State/Unsolicited In-State
Medicaid Drug Utilization and Review/Solicited In-State
Medicaid Fraud and Abuse/Solicited In-State
Medical Examiners and Coroners/Solicited In-State
Medical Residents/Solicited In-State
Medical Residents/Solicited Out-of-State
Medical Residents/Unsolicited In-State
Medical Residents/Unsolicited Out-of-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Nurse Practitioners/Unsolicited In-State
Nurse Practitioners/Unsolicited Out-of-State
Patients/Solicited In-State
Physician Assistants/Solicited In-State
Physician Assistants/Solicited Out-of-State
Physician Assistants/Unsolicited In-State
Physician Assistants/Unsolicited Out-of-State
Prescriber Delegates - Licensed/Solicited In-State
Prescriber Delegates - Unlicensed/Solicited In-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prescribers/Unsolicited In-State
Prescribers/Unsolicited Out-of-State
Prosecutors/Solicited In-State
Regulatory and Licensing Boards/Solicited In-State
Regulatory and Licensing Boards/Unsolicited In-State
Researchers/Solicited In-State
State Health Departments/Solicited In-State

# Engaged PDMP Users

Correctional Supervision/Solicited In-State
Dispenser Delegates - Licensed/Solicited In-State
Dispensers - Pharmacies/Solicited In-State
Dispensers - Pharmacies/Solicited Out-of-State
Dispensers - Pharmacies/Unsolicited In-State
Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Solicited Out-of-State
Dispensers - Pharmacists/Unsolicited In-State
Dispensers - Pharmacists/Unsolicited Out-of-State
Drug Courts/Solicited In-State
Drug Treatment Providers/Solicited In-State
Medicaid Fraud and Abuse/Solicited In-State
Medical Examiners and Coroners/Solicited In-State
Medical Residents/Solicited In-State
Medical Residents/Solicited Out-of-State
Medical Residents/Unsolicited In-State
Medical Residents/Unsolicited Out-of-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Nurse Practitioners/Unsolicited In-State
Nurse Practitioners/Unsolicited Out-of-State
Physician Assistants/Solicited In-State
Physician Assistants/Solicited Out-of-State
Physician Assistants/Unsolicited In-State
Physician Assistants/Unsolicited Out-of-State
Prescriber Delegates - Licensed/Solicited In-State
Prescriber Delegates - Unlicensed/Solicited In-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prescribers/Unsolicited In-State
Prescribers/Unsolicited Out-of-State
Prosecutors/Solicited In-State
Regulatory and Licensing Boards/Solicited In-State
Researchers/Solicited In-State

# Budget

**Total Annual Budget:**     **$3,500,000.00**     **PDMP Staff:**                                   **8**

# of Employees - Operational                 4     # of Employees - Other:                       1

# of Employees - Technical:                   2

# of Employees - Analytical:                  1

# Funding Source(s)

Controlled Substance Registration Fee                          Other Funding

# Technologies

| | | |
|---|---|---|
| Data Collection Entity: | Vendor | ☐ State HIE in place |
| Data Collection Vendor name | Bamboo Health | ☐ RxCheck Integration Allowed |
| Data Storage Entity: | Vendor | ☑ VHA VISTA integration |
| Data Storage Vendor name | Bamboo Health | ☐ HL7 FHIR connectivity |
| Report Generation Entity: | Vendor | ☐ Delegate access via integration |
| Report Generation Vendor name | Bamboo Health | ☐ SMART on FHIR apps |
| Data Access Method: | Web Portal/On-Line | ASAP Version Utilized:    4.2 |
| Data Access Entity: | Vendor | |
| Data Access Vendor name | Bamboo Health | |

# Patient Matching

☐ Referential Matching                          ☐ Exact Matching
☐ Deterministic Matching                       ☑ Probablistic Matching
☑ Manual Matching                              ☑ Probablistic and Manual Matching
☐ Other Matching

☐     Access to patient matching algorithms

Patient Matching Metrics Available:

Patient matching data elements:

# Integration(s) Available

| Integration Type | % Providers | Hub Used | Paid by Fed Gov't | Paid by State Gov't | Paid by Facility | Paid by Provider |
|---|---|---|---|---|---|---|
| EHR Integration | 75-100% | PMPi | | | | |

☐ Data Downloaded/Stored                    ☐ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data      ☑ Multistate Integrations Allowed

Integration Standards

Alternate data available:

| Integration Type | % Providers | Hub Used | Paid by Fed Gov't | Paid by State Gov't | Paid by Facility | Paid by Provider |
|---|---|---|---|---|---|---|
| HIE Integration | 0-24% | PMPi | | | | |

☐ Data Downloaded/Stored                    ☐ Data Manipulated for Analysis

☐ Data Incorporated with Interstate Data      ☐ Multistate Integrations Allowed

Integration Standards

Alternate data available:

| Integration Type | % Providers | Hub Used | Paid by Fed Gov't | Paid by State Gov't | Paid by Facility | Paid by Provider |
|---|---|---|---|---|---|---|
| PDS Integration | 75-100% | PMPi | | | | |

☐ Data Downloaded/Stored          ☐ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data          ☑ Multistate Integrations Allowed

Integration Standards

Alternate data available:

---

| Integration Type | % Providers | Hub Used | Paid by Fed Gov't | Paid by State Gov't | Paid by Facility | Paid by Provider |
|---|---|---|---|---|---|---|
| PDS Integration | 75-100% | PMPi | | | | |

☐ Data Downloaded/Stored          ☐ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data          ☑ Multistate Integrations Allowed

Integration Standards

# Interstate Data Sharing Partner(s)

| | |
|---|---|
| Alabama | PMPi Hub |
| Arizona | PMPi Hub |
| Arkansas | PMPi Hub |
| Colorado | PMPi Hub |
| Connecticut | PMPi Hub |
| Connecticut | RxCheck Hub |
| Delaware | PMPi Hub |
| District of Columbia | PMPi Hub |
| Idaho | PMPi Hub |
| Illinois | PMPi Hub |
| Kansas | PMPi Hub |
| Kentucky | PMPi Hub |
| Louisiana | PMPi Hub |
| Louisiana | RxCheck Hub |
| Massachusetts | PMPi Hub |
| Michigan | PMPi Hub |
| Military Health Service | PMPi Hub |
| Minnesota | PMPi Hub |
| Montana | PMPi Hub |
| Nevada | PMPi Hub |
| New Mexico | PMPi Hub |
| New York | PMPi Hub |
| North Carolina | PMPi Hub |
| North Dakota | PMPi Hub |
| Ohio | PMPi Hub |
| Oklahoma | PMPi Hub |
| Pennsylvania | RxCheck Hub |
| Rhode Island | PMPi Hub |
| South Carolina | PMPi Hub |
| South Dakota | PMPi Hub |
| Tennessee | PMPi Hub |
| Texas | PMPi Hub |
| Utah | PMPi Hub |
| Virginia | PMPi Hub |
| West Virginia | PMPi Hub |
| Wisconsin | PMPi Hub |

# PDMP Policies

| | | | |
|---|---|---|---|
| Enabling legislation enacted: | 1997 | Data collection frequency: | Daily or next busin |
| PDMP operational: | 1998 | ☑ Requirement for zero-reporting | |
| User access date (any method): | | Frequency of zero-reporting: | Daily; 30 days, if hospital |
| User electronic access date: | 2004 | | |
| Date received electronic data: | 1998 | | |

Interstate sharing start date:

Law/Statute citation: IN Code §§35-48-7-2.9 to -7.5; 35-48-7-8.1; 35-48-7-10.1; 35-48-7-11.1 to .14; 25-1-13-1 to -6; 34-30-2-152.5

Regulation/Rule citation: IN Admin Code 6-1-1 to -4

Dr Shopper law/statute: IC 16-42-19

Pill Mill law/statute:

Pain Clinic law/statute:

Unauthorized Use or Disclosure: IN Code §35-48-7-14

Enacted 42 CFR Part 2:

42 CFR Part 2 Details:

| | |
|---|---|
| ☐ Required Notification to consumers | ☐ Data Retention Policy |
| ☑ Mandated Use of Advisory Group | Data Retention Time: |
| ☑ Payment method captured | ☐ All Information Purged |
| ☑ Ability to id prescriber specialty | ☐ Patient Information Purged |
| ☐ Patient consent required before PDMP data release | ☐ Prescriber Information Purged |
| ☐ Ability for users to set thresholds for alert notices | ☐ Dispenser Information Purged |
| ☐ Ability for users to do user-led alert notices | ☐ Drug Information Purged |
| ☑ Mandatory E-Prescribing (EPCS) | ☐ HIPAA Covered Entity |
| ☐ Compliance Process for Enrollment Mandates | ☐ Identifiable Data to State Health Dept |
| ☐ Compliance Process for Query Mandate | ☐ Identifiable Data to local Health Dept |
| ☐ ICD-10 Codes Collected | ☐ Certified as CMS Specialized Registry |
| ☐ Deceased Patient Field | ☐ Qualified PDMP with CMS |
| ☐ Engaged in Academic Detailing | ☑ PDMP Disaster Recovery Plan |

☑ Patient ID Required to be Presented to Dispense  ID Types Reported: Patient

☑ Ability to de-id data
☑ Authority to release de-id data

De-identified data sharing conditions:  Following a contract or MOU that has been reviewed and approved.

De-Identified Data Retention Details:

☐ Retain De-Identified Data

Record Retention Detai

| | |
|---|---|
| ☐ Patient Notification of Breach | ☐ Written Notification of Breach |

Patient Breach Notification Method:

Patient Breach Notification Method Oth

E-prescribing required substan  Controlled susbtances. Effective 1-1-2022

E-prescribing exemptions/waive  To Apply:  1. Create an Access Indiana account. 2. Access 'E-Prescribing Waiver' section and complete application under one of the recognized exceptions listed on our website. 4.  Submit the application to the Board of Pharmacy.   https://www.in.gov/pla/p

Authority to enforce PDMP mandat  PDMP will report non-compliance to Attorney General

# Enrollment and Accounts

☐ Superviser Review/audit of Delegate Account Number of Delegates allowed: Not limited

☐ Auto Enroll with License Renewal or App

Enrollment Method:

Practitioners must proactively enroll themselves by filling out an application. Once it is determined by INSPECT staff that the practitioner has the proper credential and is in good standing, they are approved.

Practitioner IDs for PDMP Account:

DEA controlled substance registration number; Licensing Board number; State controlled substance registration number

2 Factor Authentication:

2 factor authentication not offered

Criteria for Dispensers to get account to upload data:

Dispensation (past, present, or potential) of controlled substance to Indiana resident

Criteria for Prescriber delegates to get account:

called 'agents' in Indiana; not really defined - must be part of 'care team'; no other requirements

Criteria for Dispenser delegates to get account:

not allowed at this time

Criteria for PAs to get account:

Controlled substance registration (state issued) and DEA License

Criteria for Nurse Practitioners to get account:

Controlled substance registration (state issued) and DEA License

Criteria for other users to get account:

Requirements for Patients to get PDMP Report:

A practitioner must determine whether or not they want to include the PDMP report in the patients medical record. If the report is put into the medical record, the patient will have access.

Requirements for others to get PDMP Report:

Criteria for Online Non-healthcare accounts:

Licensing/regulatory Board investigators, Law Enforcement investigators (federal, state, and local), Medical Examiners/coroners, Pardons or Parole officers, State Epidemiologists

Data for Epidemiological Purposes:     Authority to Release

☑ Law Enforcement On-line access to PDMP     ☐ Law Enforcement Written Request access to PDMP

Law Enforcement Access Method:

Law Enforcement Access Requirements:   Active Investigation

☑ PDMP users validated with DEA Registration Fil   ☐ PDMP Access without DEA Number

☐ PDMP users validated with NPI File               Validation Process for

☐ PDMP users validated with State Licensing Board users without DEA #:

# Enrollment Mandates

Dispenser - Mandatory PDMP Enrollment

Prescriber - Mandatory PDMP Enrollment

Effective Date(s):

Details:

SEA221 requires enrollment with the PDMP for all prescribers with a controlled substance registration (CSR) by January 1, 2019. Will be in effect July 1, 2018

# Use Mandates

Dispenser - Mandatory PDMP Use

Prescriber - Mandatory PDMP Use

Effective Date(s):  July 1, 2014; July 1, 2023

Details:

Requires adoption of regulations that require an opioid treatment provider who prescribes opioid medication for a patient in an opioid treatment program periodically review the PDMP for that patient.  Practitioners must query the PDMP prior to initially p

# Training on Enrollment and Use

Prescriber - Training Provided        In-person; Webinar

Law Enforcement - Training Provided    In-person; Webinar

Dispenser - Training Provided        In-person; Webinar

# PDMP Queries

☐ Ability to search for multiple patients in one query Maximum number of patients in one query 1

Patient Query Date Ran                    Other Query Date Range:

Minimum data elements to query for healthcare user:    Last name, First name, Date of Birth, Date range of dispensation (365 days per search, but can go back to 1994)

Query by partial data elements by healthcare user:    allow partial name, but strongly urged not to

Optional data elements to query by healthcare user:    Alias, address, gender

Minimum data elements to query for non-healthcare user:    Last name, First name, Date of Birth, Date range of dispensation (365 days per search, but can go back to 1994); LE can query practitioners by name or DEA or CSR registration number

Query by partial data elements by non-healthcare user:    allow partial name, but strongly urged not to

Optional data elements to query by non-healthcare user:    Alias, address, gender

# Unsolicited Reports/Push Notifications

Frequency unsolicited alerts/reports generated:

Delivery method for unsolicited alerts/reports:

Delivery method for unsolicited alerts/reports - LE:

Delivery method for unsolicited alerts/reports - Boards:

☐ Unsolicited alerts/reports to practitioners not enrolled in PDMP

# Veterinary Policies

Data transmission frequency for Veterinarians:  Daily; anything more than a 72 hour supply
Criteria for veterinarian queries:  Animal's Name or Animal's First Name and Animal Owner's Last Name

☐ Veterinarian access to owner's prescription history          ☐ Veterinary Icon on PDMP Report
☐ Reporting Specifications
Reporting Method:
Reporting Specifications:
Data Fields:
Reporting Description:
☐ Reporting Mandate Compliance
Reporting Compliance Details:
Reporting Mandate Actions:
Reporting Issues:
Reporting Misc Information:
☐ Enrollment Mandate Compliance
Enrollment Description:
Enrollment Mandate Compliance Details:
Enrollment Mandate Criteria:
☐ Query Mandate Compliance
Query Description:
Query Mandate Compliance Details:
Query Mandate Criteria:

# PDMP Effectiveness Measures

Reduction in morphine milligram equivalents (MMEs) prescribed or dispensed
Reduction in incidence of multiple provider episodes
Reduction in number of benzodiazepine dosage units dispensed
Reduction in number of benzodiazepine prescriptions issued
Reduction in number of opioid dosage units dispensed
Reduction in number of opioid prescriptions issued
Reduction in number of stimulant dosage units dispensed
Reduction in number of stimulant prescriptions issued

# Substance Use Disorder Activities

## Tools or Resources

☑ MAT Services
☑ MOUD Services
☐ Harm Reduction Strategies
☐ Mental Health Assistance Services
☐ Employee Assistance Programs
☐ Housing Assistance Programs
☐ Re-Entry Programs

Other:

will have Open Beds in the PMP by end of 2022

## Surveillance Activities

☐ Emergency Department Data          ☐ Medical Examiner/Coroner Data
Data Sources for Surveillance Activities:

## Initiated Actions

☐ Resources to Affected Areas          Other Actions:
☐ Directed Training on Prescribing
☐ Prescription Drug Tool Kits
☐ Risk Evaluation/Analysis on PDMP Reports
☐ Referrals to SUD Organizations
☐ Referrals to OFR Teams
☐ Prevent disruption of patient services