

Prescription Drug Monitoring Program Training and Technical Assistance Center

## **New York**

PDMP acrony I-STOP Region East

Agency Responsib New York State Department of Health/Bureau of Narcotic Enforcement

Agency Typ Department of Health

PDMP Web Resources/Links

PDMP Websit https://www.health.ny.gov/professionals/narcotic/prescription monitoring/

PDMP Ema narcotic@health.state.ny.us

PDMP Register Websi https://www.health.ny.gov/professionals/narcotic/electronic data transmission/

User Account Manu https://www.health.ny.gov/professionals/narcotic/prescription monitoring/online notific

ation\_program/

PDMP Query Websi https://commerce.health.state.ny.us/hcsportal/hcs\_home.portal

PDMP Data Upload Websi https://commerce.health.state.ny.us

Data Upload Manu https://www.health.ny.gov/professionals/narcotic/electronic data transmission/docs/su

bmitter\_guide.pdf

Statute/Regulation Websit https://www.health.ny.gov/professionals/narcotic/laws and regulations/

Opioid Guidelines Websi

PDMP FAQ https://www.health.ny.gov/professionals/narcotic/prescription monitoring/docs/pmp reg

istry faq.pdf

Integration Guidanc

PDMP Statistics Websi https://www.health.ny.gov/statistics/opioid/

Research Specialist

Opioid Dashboard Websi

Training Websit https://pharmacy.buffalo.edu/academics/continuing-education/events/the-new-york-

state-prescription-monitoring-program-update.html

#### **PDMP Contact Information**

Contact Name: Jensen, Svetlana - Program Contact Name: Palmer, Kassandra - Senior

Program Coordinator

Address: 150 Broadway, Riverview Center Address: 150 Broadway, Riverview Center

City State Zip: Albany NY 12204 City State Zip: Albany NY 12204

Telephone: 5184733850 Telephone: 5184086019

Fax: 5184020709 Fax: 5184020709

Email: Svetlana.Jensen@health.ny.gov Email: kassandra.palmer@health.ny.gov

### State Population and Number of Registrants

State population: 19,469,232 DEA Prescriber total: 132,717 DEA Dispenser total: 5,564

Data Transmitter(s)

Correctional Facility Pharmacy Dispensing Practitioner

Dispensing Veterinarians

Long Term Care Facility Pharmacy
Pharmacy (In-State)

Pharmacy (Mail Order In-State)

Pharmacy (Other Out of State)

**Substances Monitored** 

Drugs of Concern Schedules II - V

Alternate Data Sources

ARCOS Reports Marijuana Dispensations

Pharmaceutical Manufacturers/Distributors

Substance Use Disorder Treatment Options

Available Reports

Annual PDMP Reports Data Dashboards

Dispenser Reports to Law Enforcement Licensee Reports to Licensing Board

Lost/Stolen Prescription Reports MME Calculations

Patient Query Lists to Law Enforcement
Patient Reports to Dispensers
Patient Reports to Licensing Boards
Patient Reports to Licensing Boards
Patient Reports to Licensing Boards
Patient Reports to Law Enforcement

Patient Reports to Licensing Boards Patient Reports to Prescribers

Prescriber Reports to Law Enforcement Prescription Drug Combinations

Registrant Query Lists to Law Enforcement Registrant Query Lists to Licensing Boards

Registrant Reports to Prescribers Statewide Statistics

# Statistical Capabilities

<ul> <li>  # of Prescription Filled</li> <li>  # of Prescriptions Filled by CS Schedule</li> <li>  # of Prescriptions Filled by Drug Class</li> <li>  Statistics Filtered by Age or Age Range</li> <li>  Statistics Filtered by Ethnicity or Race</li> </ul>	<ul> <li>         # of Dosage Units Dispensed     </li> <li>         # of Dosage Units Dispensed by CS Schedule     </li> <li>         # of Dosage Units Dispensed by Drug Class     </li> <li>         Statistics Filtered by Gender Identification     </li> <li>         Statistics Filtered by Geographic Location     </li> </ul>
<ul> <li>      # of Authorized PDMP Users Enrolled     □ # of Registrants in SDTC     □ # of Registrants in SDTC by Practice/License Typ     □</li> </ul>	<ul> <li>         # of Prescribers Enrolled in PDMP by License Type     </li> <li>         # of Dispensers Enrolled in PDMP by License Type     </li> <li>         # of Prescribers Enrolled in PDMP by Specialty     </li> <li>         # of Unique Prescribers     </li> </ul>
<ul> <li>      # of Data Errors by Error Type</li> <li>     # of Data Errors Corrected</li> <li>     # of At-Risk Patients by Risk Factor</li> <li>     Risk Factor Statistics by Time Frame   </li> </ul>	<ul> <li>  # of In-State Queries</li> <li> # of In-State Queries by Requestor Type</li> <li> # of Interstate Queries</li> <li> # of Interstate Queries by Requestor Type</li> <li> # of Positive Matches from Interstate Queries</li> </ul>
<ul> <li>         # of Solicited Prescriber Reports         — # of Solicited Prescriber Reports by Requestor Type         — # of Unsolicited Prescriber Reports         — # of Unsolicited Prescriber Reports by Recipient Type         — # of Solicited Dispenser Reports         — # of Solicited Dispenser Reports by Requestor Type         — # of Unsolicited Dispenser Reports         — # of Unsolicited Dispenser Reports by Recipient Type         — # of Solicited Patient Reports         — # of Solicited Patient Reports         — # of Unsolicited Patient Reports         — # of Uns</li></ul>	<ul> <li>         # of Solicited Statistical Reports by Requestor Type         # of Unsolicited Statistical Reports by Recipient Type         # of Unique Requestors for Solicited Reports         # of Unique Requestors by Requestor Type (sol. rep         # of Unique Requestors for Unsolicited Rep         # of Unique Recipients by Recipient Type (unsol. rep         # Out-of-State Patien         # Out-of-State Prescribe         Data Analysis Resources Available:         Data Analyst; Epidemiologist;</li></ul>

#### Authorized PDMP Users

Dispenser Delegates - Licensed/Solicited In-State
Dispenser Delegates - Licensed/Solicited Out-ofState
Dispenser Delegates - Unlicensed/Solicited In-State
Dispenser Delegates - Unlicensed/Solicited Out-ofState

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Dispensers - Pharmacists/Unsolicited In-State

**Drug Treatment Providers/Solicited In-State** 

Healthcare Facilities and Institutions/Solicited In-State

Healthcare Facilities and Institutions/Solicited Out-of-State

Healthcare Facilities and Institutions/Unsolicited In-State

Healthcare Facilities and Institutions/Unsolicited Outof-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - Local/Solicited Out-of-State

Law Enforcement - State/Solicited In-State

Law Enforcement - State/Solicited Out-of-State

Marijuana Dispensaries/Solicited In-State

Medical Examiners and Coroners/Solicited In-State

Medical Interns/Solicited In-State

Medical Interns/Solicited Out-of-State

Medical Interns/Unsolicited In-State

Medical Interns/Unsolicited Out-of-State

Medical Residents/Solicited In-State

Medical Residents/Solicited Out-of-State

Medical Residents/Unsolicited In-State

Medical Residents/Unsolicited Out-of-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Nurse Practitioners/Unsolicited In-State

Patients/Solicited In-State

Physician Assistants/Solicited In-State

Physician Assistants/Solicited Out-of-State

Physician Assistants/Unsolicited In-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Licensed/Solicited Out-of-

State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-

State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prescribers/Unsolicited In-State

Prosecutors/Solicited In-State

Prosecutors/Solicited Out-of-State Prosecutors/Unsolicited In-State Regulatory and Licensing Boards/Solicited In-State Regulatory and Licensing Boards/Solicited Out-of-State Regulatory and Licensing Boards/Unsolicited In-State

State Health Departments/Solicited In-State

Telemedicine Prescribers/Solicited in-State

### **Engaged PDMP Users**

Dispenser Delegates - Licensed/Solicited In-State

Dispenser Delegates - Licensed/Solicited Out-of-

State

Dispenser Delegates - Unlicensed/Solicited In-State

Dispenser Delegates - Unlicensed/Solicited Out-of-

State

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Drug Treatment Providers/Solicited In-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - Local/Solicited Out-of-State

Law Enforcement - State/Solicited In-State

Law Enforcement - State/Solicited Out-of-State

Marijuana Dispensaries/Solicited In-State

Medical Examiners and Coroners/Solicited In-State

Medical Interns/Solicited In-State

Medical Interns/Solicited Out-of-State

Medical Residents/Solicited In-State

Medical Residents/Solicited Out-of-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Patients/Solicited In-State

Physician Assistants/Solicited In-State

Physician Assistants/Solicited Out-of-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Licensed/Solicited Out-of-

State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-

State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prosecutors/Solicited In-State

Prosecutors/Solicited Out-of-State

Regulatory and Licensing Boards/Solicited In-State

Regulatory and Licensing Boards/Solicited Out-of-

State

Regulatory and Licensing Boards/Unsolicited In-State

State Health Departments/Solicited In-State

Telemedicine Prescribers/Solicited in-State

# Budget

Total Annual Budget:	PDMP Staff:	6
# of Employees - Operational	# of Employees -	Other:
# of Employees - Technical:		
# of Employees - Analytical:		
	Funding Sour	ce(s)
CDC Grant		
	Technologi	es
Data Collection Entity:	In-House	✓ State HIE in place
Data Collection Vendor name	In-House	✓ RxCheck Integration Allowed
Data Storage Entity:	In-House	☐ VHA VISTA integration
Data Storage Vendor name	In-House	✓ HL7 FHIR connectivity
Report Generation Entity:	In-House	✓ Delegate access via integration
Report Generation Vendor name	In-House	✓ SMART on FHIR apps
Data Access Method:	Web Portal/On-Line	ASAP Version Utilized: 4.2B
Data Access Entity:	In-House	
Data Access Vendor name	In-House	
	Patient Matc	hing
$\square$ Referent	ial Matching	☐ Exact Matching
☐ Determin	nistic Matching	☐ Probablistic Matching
□ Manual N <b>⊻</b> Other Ma	•	☐ Probablistic and Manual Matching
patients wit		st and last names and other patient identifiers. All a match. Different patient keys are considered as es on street address and
Access to patient matching	algorithms	
Patient Matching Metrics Availab		
Patient matching data elements:		
Integration(s) Available		
Integration Type % Providers H	lub Used Paid by Fed Gov't P	aid by State Gov't Paid by Facility Paid by Provider
EHR Integration 0-24%		
☐ Data Downloaded/Stored	☐ Data Manipulated	d for Analysis
☐ Data Incorporated with Interst	ate Data 🔲 Multistate Integra	ations Allowed
Integration Standards "we us		ation site but have capacity to use PMIX v. 3.0; v. 20170FHIR HL7
Alternate data available:		

Integration Type % Providers Hub Used	Paid by Fed Gov't Paid by State Gov't	Paid by Facility	Paid by Provider
HIE Integration 0-24%			
$\square$ Data Downloaded/Stored	$\square$ Data Manipulated for Analysis		
$\square$ Data Incorporated with Interstate Data	$\square$ Multistate Integrations Allowed		
Integration Standards			
Alternate data available:			

### Interstate Data Sharing Partner(s)

Alabama PMPi Hub Arkansas PMPi Hub Colorado PMPi Hub Colorado RxCheck Hub Connecticut PMPi Hub Connecticut RxCheck Hub Delaware PMPi Hub **District of Columbia** PMPi Hub Florida PMPi Hub Florida RxCheck Hub Georgia PMPi Hub Idaho PMPi Hub Illinois PMPi Hub Indiana PMPi Hub Iowa PMPi Hub Kansas PMPi Hub Kentucky RxCheck Hub Maine PMPi Hub Maryland PMPi Hub Maryland RxCheck Hub Massachusetts PMPi Hub Michigan PMPi Hub Military Health Service PMPi Hub Minnesota PMPi Hub Mississippi PMPi Hub PMPi Hub Montana Nebraska RxCheck Hub PMPi Hub New Hampshire New Jersey PMPi Hub North Carolina PMPi Hub North Dakota PMPi Hub Ohio PMPi Hub Pennsylvania PMPi Hub Pennsylvania RxCheck Hub Puerto Rico PMPi Hub Rhode Island PMPi Hub South Carolina PMPi Hub Texas PMPi Hub Utah RxCheck Hub Vermont PMPi Hub Virginia PMPi Hub Washington PMPi Hub West Virginia PMPi Hub Wisconsin PMPi Hub

## PDMP Policies

Enabling legislation enacted:	1972	Data collection frequency:	Daily or next busin
PDMP operational:	1973	✓ Requirement for zero-repor	•
User access date (any method):	2010	Frequency of zero-reporting:	Every 2 weeks unless a
User electronic access date:			
Date received electronic data:	1999		
Interstate sharing start date:	2016		
Law/Statute citation:	NY Public Health Law §§ 3343a; 3370, 3371 to 33	§ 12-b; 3309-a; 3331 to 3334; 3 73; 3385; 3396	3337 to 3338; 3343;
Regulation/Rule citation:	NY Code Regs Title 10 §	§§ 80.67 to74; .78; .107; .10	8; .123
Dr Shopper law/statute:	NY Stat. Ann. Penal Law	ı § 178.26, Public Health Law §	§ 3397
Pill Mill law/statute:			
Pain Clinic law/statute: Unauthorized Use or Disclosure: Enacted 42 CFR Part 2: 42 CFR Part 2 Details:	NY Public Health Law §	12-b; 3371	
<ul> <li>□ Required Notification to consumers</li> <li>☑ Mandated Use of Advisory Group</li> <li>☑ Payment method captured</li> <li>☑ Ability to id prescriber specialty</li> <li>□ Patient consent required before PDMP data release</li> <li>□ Ability for users to set thresholds for alert notices</li> <li>□ Ability for users to do user-led alert notices</li> <li>☑ Mandatory E-Prescribing (EPCS)</li> <li>□ Compliance Process for Enrollment Mandates</li> <li>☑ Compliance Process for Query Mandate</li> <li>□ ICD-10 Codes Collected</li> <li>□ Deceased Patient Field</li> </ul>		<ul> <li>✓ Data Retention Policy</li> <li>Data Retention Time: 5 years</li> <li>☐ All Information Purged</li> <li>✓ Patient Information Purged</li> <li>☐ Prescriber Information Purged</li> <li>☐ Dispenser Information Purged</li> <li>☐ Drug Information Purged</li> <li>☐ HIPAA Covered Entity</li> <li>✓ Identifiable Data to State Health Dept</li> <li>☐ Identifiable Data to local Health Dept</li> <li>☐ Certified as CMS Specialized Registry</li> <li>✓ Qualified PDMP with CMS</li> <li>✓ PDMP Disaster Recovery Plan</li> <li>Types Reported:</li> </ul>	
Authority to release de-id data De-identified data sharing conditi De-Identified Data Retention Det	ons:		
✓ Retain De-Identified Data			
Record Retention Detai Patient Notification of Breach Patient Breach Notification Metho Patient Breach Notification Metho E-prescribing required substan E-prescribing exemptions/waive	od Oth  Controlled substance Practitioner applies for via a hard copy form.	☐ Written Notification of Bross, non-controlled prescription ror a waiver from e-prescribing.  The request must contain an ean include technical or econom	medications They can apply on line or explanation of the need
Authority to enforce PDMP mand	request is reviewed b	-	

Enrollment and Accounts
☐ Superviser Review/audit of Delegate Account Number of Delegates allowed: not limited
☐ Auto Enroll with License Renewal or App
Enrollment Method:
Enrollees may obtain an account by applying electronically.
Practitioner IDs for PDMP Account:
Licensing Board number;
2 Factor Authentication:
2 factor authentication not offered
Criteria for Dispensers to get account to upload data:
Must have an individual Health Commerce System (HCS) account to gain access to the PMP. If no professional
license, an account issued by BNE.
Criteria for Prescriber delegates to get account:
Prescriber who has HCS account sets up delegate in HCS
Criteria for Dispenser delegates to get account:
Dispenser who has HCS account sets up delegate in HCS
Criteria for PAs to get account:
NY license as PA and has an HCS account
Criteria for Nurse Practitioners to get account:
NY license as NP and has an HCS account
Criteria for other users to get account:
Depending on the role they must be delegates of a licensed practitioner/prescriber and have an HCS account
Requirements for Patients to get PDMP Report:
Must request from the department
Requirements for others to get PDMP Report:

Requirements for others to get PDMP Report:

Criteria for Online Non-healthcare accounts:

Data for Epidemiological Purposes: ☐ Law Enforcement On-line access to PDMP ✓ Law Enforcement Written Request access to PDMP Law Enforcement Access Method: Law Enforcement Access Requirements: Subpoena ☐ PDMP users validated with DEA Registration Fil ☑ PDMP Access without DEA Number ☐ PDMP users validated with NPI File Validation Process for We use an internal validation system ✓ PDMP users validated with State Licensing Boardusers without DEA #:

where all PMP users are required to get an account with our Health Commerce System. We validate off the practitioner's professional license. For designees who do not have professional licenses, they must be designate

### **Enrollment Mandates**

### **Use Mandates**

Prescriber - Mandatory PDMP Use

Effective Date(s): August 27, 2013

Details:

Effective 8-27-13. Exceptions to the duty to consult prior to writing a controlled substance prescription in Schedules II-IV are: Practitioner administering a controlled substance; For use within an institutional dispenser; Emergency Department (if limit

## Training on Enrollment and Use

Prescriber - Training Provided

Delivery method for unsolicited alerts/reports - Boards:

 $\square$  Unsolicited alerts/reports to practitioners not enrolled in PDMP

Online video; User Guides

PDMP Queries		
$\square$ Ability to search for multiple patients in one query Maxim	um number of patients in one query 30	
Patient Query Date Ran 1 year	Other Query Date Range:	
Minimum data elements to query for healthcare user:	First name, last name, DOB	
Query by partial data elements by healthcare user:		
Optional data elements to query by healthcare user:		
Minimum data elements to query for non-healthcare user:	First name, last name, DOB	
Query by partial data elements by non-healthcare user:		
Optional data elements to query by non-healthcare user:		
Unsolicited Reports/F	Push Notifications	
Frequency unsolicited alerts/reports generated:		
Delivery method for unsolicited alerts/reports:		
Delivery method for unsolicited alerts/reports - LE:		

# Veterinary Policies

Data transmission frequency for Veterinar	rians: Daily	
Criteria for veterinarian queries:	Vets report but do not se	arch
☐ Veterinarian access to owner's prescrip	tion history	✓ Veterinary Icon on PDMP Report
✓ Reporting Specifications		
Reporting Method:	Electronic	
Reporting Specifications:		
Data Fields:		
Reporting Description:	Veterinarians are required to re	eport
✓ Reporting Mandate Compliance		
Reporting Compliance Details:		
Reporting Mandate Actions:		
Reporting Issues:		
Reporting Misc Information:		
☐ Enrollment Mandate Compliance		
Enrollment Description:	Veterinarians are exempted from	om enrollment as data requesters
Enrollment Mandate Compliance Details:		
Enrollment Mandate Criteria:		
☐ Query Mandate Compliance		
Query Description:	Veterinarians are not permitted	by statute/rule/policy to query
Query Mandate Compliance Details:		
Query Mandate Criteria:		

#### PDMP Effectiveness Measures

Reduction in morphine milligram equivalents (MMEs) prescribed or dispensed

Reduction in number of fatal or non-fatal overdoses

Reduction in incidence of multiple provider episodes

Reduction in number of opioid prescriptions issued

Reduction in number of benzodiazepine prescriptions issued

### Substance Use Disorder Activities

Tools or Resources	
<ul> <li>□ MAT Services</li> <li>□ MOUD Services</li> <li>□ Harm Reduction Strategies</li> <li>□ Mental Health Assistance Services</li> <li>□ Employee Assistance Programs</li> <li>□ Housing Assistance Programs</li> <li>□ Re-Entry Programs</li> </ul>	Other: NYS NYS Office of Addiction Services and Supports (OASAS)
Surveillance Activities	
☐ Emergency Department Data  Data Sources for Surveillance Activities:	☐ Medical Examiner/Coroner Data
Initiated Actions	
<ul> <li>□ Resources to Affected Areas</li> <li>□ Directed Training on Prescribing</li> <li>□ Prescription Drug Tool Kits</li> <li>□ Risk Evaluation/Analysis on PDMP Report Referrals to SUD Organizations</li> <li>□ Referrals to OFR Teams</li> <li>✓ Prevent disruption of patient services</li> </ul>	Other Actions: