PDMP TTAC
Prescription Drug Monitoring Program Training and Technical Assistance Center

New York

PDMP acronym:	I-STOP
Region:	East
Agency Responsible:	New York State Department of Health/Bureau of Narcotic Enforcement
Agency Type:	Department of Health

PDMP Web Resources/Links

PDMP Website:	https://www.health.ny.gov/professionals/narcotic/prescription_monitoring/
PDMP Email:	narcotic@health.state.ny.us
PDMP Register Website:	https://www.health.ny.gov/professionals/narcotic/electronic_data_transmission/
User Account Manual:	https://www.health.ny.gov/professionals/narcotic/prescription_monitoring/online_notification_program/
PDMP Query Website:	https://commerce.health.state.ny.us/hcsportal/hcs_home.portal
PDMP Data Upload Website:	https://commerce.health.state.ny.us
Data Upload Manual:	https://www.health.ny.gov/professionals/narcotic/electronic_data_transmission/docs/submitter_guide.pdf
Statute/Regulation Website:	https://www.health.ny.gov/professionals/narcotic/laws_and_regulations/
Opioid Guidelines Website:	
PDMP FAQs:	https://www.health.ny.gov/professionals/narcotic/prescription_monitoring/docs/pmp_registry_faq.pdf
Integration Guidance:	
PDMP Statistics Website:	https://www.health.ny.gov/statistics/opioid/
Opioid Dashboard Website:	
Training Website:	https://pharmacy.buffalo.edu/academics/continuing-education/events/the-new-york-state-prescription-monitoring-program-update.html

PDMP Contact Information

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State Population and Number of Registrants

State population: 19,496,810   DEA Prescriber total: 130,129   DEA Dispenser total: 5,556

Data Transmitter(s)

Correctional Facility Pharmacy

Dispensing Veterinarians

Pharmacy (In-State)

Pharmacy (Mail Order Out of State)

Dispensing Practitioner

Long Term Care Facility Pharmacy

Pharmacy (Mail Order In-State)

Pharmacy (Other Out of State)

Substances Monitored

Drugs of Concern

Schedules II - V

Alternate Data Sources

ARCOS Reports

Pharmaceutical Manufacturers/Distributors

Medical Marijuana Dispensations

Substance Use Disorder Treatment Options

Available Reports

Annual PDMP Reports

Dispenser Reports to Law Enforcement

Lost/Stolen Prescription Reports

Patient Query Lists to Law Enforcement

Patient Reports to Dispensers

Patient Reports to Licensing Boards

Prescriber Reports to Law Enforcement

Registrant Query Lists to Law Enforcement

Registrant Reports to Prescribers

Data Dashboards

Licensee Reports to Licensing Board

MME Calculations

Patient Query Lists to Licensing Boards

Patient Reports to Law Enforcement

Patient Reports to Prescribers

Prescription Drug Combinations

Registrant Query Lists to Licensing Boards

Statewide Statistics

Statistical Capabilities

- ☑ # of Prescription Filled
- ☑ # of Prescriptions Filled by CS Schedule
- ☑ # of Prescriptions Filled by Drug Class
- ☑ Statistics Filtered by Age or Age Range
- ☐ Statistics Filtered by Ethnicity or Race

- ☑ # of Authorized PDMP Users Enrolled
- ☐ # of Registrants in SDTC
- ☐ # of Registrants in SDTC by Practice/License Type

- ☑ # of Data Errors by Error Type
- ☑ # of Data Errors Corrected
- ☐ # of At-Risk Patients by Risk Factor
- ☐ Risk Factor Statistics by Time Frame

- ☑ # of Solicited Prescriber Reports
- ☐ # of Solicited Prescriber Reports by Requestor Type
- ☐ # of Unsolicited Prescriber Reports
- ☑ # of Unsolicited Prescriber Reports by Recipient Type
- ☑ # of Solicited Dispenser Reports
- ☑ # of Solicited Dispenser Reports by Requestor Type
- ☐ # of Unsolicited Dispenser Reports
- ☑ # of Unsolicited Dispenser Reports by Recipient Type
- ☑ # of Solicited Patient Reports
- ☑ # of Solicited Patient Reports by Requestor Type
- ☐ # of Unsolicited Patient Reports
- ☑ # of Unsolicited Patient Reports by Recipient Type

- ☑ # of Dosage Units Dispensed
- ☑ # of Dosage Units Dispensed by CS Schedule
- ☑ # of Dosage Units Dispensed by Drug Class
- ☑ Statistics Filtered by Gender Identification
- ☑ Statistics Filtered by Geographic Location

- ☑ # of Prescribers Enrolled in PDMP by License Type
- ☑ # of Dispensers Enrolled in PDMP by License Type
- ☐ # of Prescribers Enrolled in PDMP by Specialty
- ☑ # of Unique Prescribers

- ☑ # of In-State Queries
- ☑ # of In-State Queries by Requestor Type
- ☑ # of Interstate Queries
- ☐ # of Interstate Queries by Requestor Type
- ☑ # of Positive Matches from Interstate Queries

- ☑ # of Solicited Statistical Reports by Requestor Type
- ☑ # of Unsolicited Statistical Reports by Recipient Type
- ☐ # of Unique Requestors for Solicited Reports
- ☑ # of Unique Requestors by Requestor Type (sol. reports)
- ☐ # of Unique Requestors for Unsolicited Reports
- ☑ # of Unique Recipients by Recipient Type (unsol. reports)

% Out-of-State Patients:

% Out-of-State Prescribers:

Data Analysis Resources Available:

Data Analyst; Epidemiologist;

Authorized PDMP Users

Dispenser Delegates - Licensed/Solicited In-State

Dispenser Delegates - Licensed/Solicited Out-of-State

Dispenser Delegates - Unlicensed/Solicited In-State

Dispenser Delegates - Unlicensed/Solicited Out-of-State

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Dispensers - Pharmacists/Unsolicited In-State

Drug Treatment Providers/Solicited In-State

Healthcare Facilities and Institutions/Solicited In-State

Healthcare Facilities and Institutions/Solicited Out-of-State

Healthcare Facilities and Institutions/Unsolicited In-State

Healthcare Facilities and Institutions/Unsolicited Out-of-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - Local/Solicited Out-of-State

Law Enforcement - State/Solicited In-State

Law Enforcement - State/Solicited Out-of-State

Marijuana Dispensaries/Solicited In-State

Medical Examiners and Coroners/Solicited In-State

Medical Interns/Solicited In-State

Medical Interns/Solicited Out-of-State

Medical Interns/Unsolicited In-State

Medical Interns/Unsolicited Out-of-State

Medical Residents/Solicited In-State

Medical Residents/Solicited Out-of-State

Medical Residents/Unsolicited In-State

Medical Residents/Unsolicited Out-of-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Nurse Practitioners/Unsolicited In-State

Patients/Solicited In-State

Physician Assistants/Solicited In-State

Physician Assistants/Solicited Out-of-State

Physician Assistants/Unsolicited In-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Licensed/Solicited Out-of-State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prescribers/Unsolicited In-State

Prosecutors/Solicited In-State

Prosecutors/Solicited Out-of-State

Prosecutors/Unsolicited In-State

Regulatory and Licensing Boards/Solicited In-State

Regulatory and Licensing Boards/Solicited Out-of-State
Regulatory and Licensing Boards/Unsolicited In-State
State Health Departments/Solicited In-State

Engaged PDMP Users

Dispenser Delegates - Licensed/Solicited In-State

Dispenser Delegates - Licensed/Solicited Out-of-State

Dispenser Delegates - Unlicensed/Solicited In-State

Dispenser Delegates - Unlicensed/Solicited Out-of-State

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Drug Treatment Providers/Solicited In-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - Local/Solicited Out-of-State

Law Enforcement - State/Solicited In-State

Law Enforcement - State/Solicited Out-of-State

Marijuana Dispensaries/Solicited In-State

Medical Examiners and Coroners/Solicited In-State

Medical Interns/Solicited In-State

Medical Interns/Solicited Out-of-State

Medical Residents/Solicited In-State

Medical Residents/Solicited Out-of-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Patients/Solicited In-State

Physician Assistants/Solicited In-State

Physician Assistants/Solicited Out-of-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Licensed/Solicited Out-of-State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prosecutors/Solicited In-State

Prosecutors/Solicited Out-of-State

Regulatory and Licensing Boards/Solicited In-State

Regulatory and Licensing Boards/Solicited Out-of-State

Regulatory and Licensing Boards/Unsolicited In-State

State Health Departments/Solicited In-State

Budget

Total Annual Budget: **PDMP Staff:**   **6**

# of Employees - Operational: # of Employees - Other:

# of Employees - Technical:

# of Employees - Analytical:

Funding Source(s)

CDC Grant

Technologies

Data Collection Entity:	In-House	☑ State HIE in place
Data Collection Vendor name:	In-House	☑ RxCheck Integration Allowed
Data Storage Entity:	In-House	☐ VHA VISTA integration
Data Storage Vendor name:	In-House	☑ HL7 FHIR connectivity
Report Generation Entity:	In-House	ASAP Version Utilized: 4.2B
Report Generation Vendor name:	In-House	
Data Access Method:	Web Portal/On-Line	
Data Access Entity:	In-House	
Data Access Vendor name:	In-House	

Patient Matching

☐ Referential Matching   ☐ Exact Matching

☐ Deterministic Matching   ☐ Probablistic Matching

☐ Manual Matching   ☐ Probablistic and Manual Matching

☑ Other Matching

A patient key is created using phonetic first and last names and other patient identifiers. All patients with the same key are considered a match. Different patient keys are considered as matching if associated patient info matches on street address and

☑ Access to patient matching algorithms

Patient Matching Metrics Available:

Patient matching data elements:

Integration(s) Available

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
EHR Integration	0-24%					

☐ Data Downloaded/Stored   ☐ Data Manipulated for Analysis

☐ Data Incorporated with Interstate Data   ☐ Multistate Integrations Allowed

Integration Standards: "we use PMIX for our one pilot integration site but have capacity to use PMIX v. 3.0; NCPDP v. 20170FHIR HL7

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
HIE Integration	0-24%					

☐ Data Downloaded/Stored   ☐ Data Manipulated for Analysis

☐ Data Incorporated with Interstate Data   ☐ Multistate Integrations Allowed

Integration Standards:

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
PDS Integration	0-24%					

☐ Data Downloaded/Stored   ☐ Data Manipulated for Analysis

☐ Data Incorporated with Interstate Data   ☐ Multistate Integrations Allowed

Integration Standards:

Interstate Data Sharing Partner(s)

Alabama	PMPi Hub
Arkansas	PMPi Hub
Colorado	PMPi Hub
Connecticut	PMPi Hub
Connecticut	RxCheck Hub
Delaware	PMPi Hub
District of Columbia	PMPi Hub
Florida	PMPi Hub
Georgia	PMPi Hub
Illinois	PMPi Hub
Indiana	PMPi Hub
Iowa	PMPi Hub
Kansas	PMPi Hub
Maine	PMPi Hub
Maryland	PMPi Hub
Maryland	RxCheck Hub
Massachusetts	PMPi Hub
Michigan	PMPi Hub
Military Health Service	PMPi Hub
Minnesota	PMPi Hub
Mississippi	PMPi Hub
Montana	PMPi Hub
Nebraska	RxCheck Hub
New Hampshire	PMPi Hub
New Jersey	PMPi Hub
North Carolina	PMPi Hub
North Dakota	PMPi Hub
Ohio	PMPi Hub
Pennsylvania	PMPi Hub
Pennsylvania	RxCheck Hub
Puerto Rico	PMPi Hub
Rhode Island	PMPi Hub
South Carolina	PMPi Hub
Texas	PMPi Hub
Vermont	PMPi Hub
Virginia	PMPi Hub
Washington	PMPi Hub
West Virginia	PMPi Hub
Wisconsin	PMPi Hub

PDMP Policies

Enabling legislation enacted: 1972

PDMP operational: 1973

User access date (any method): 2010

User electronic access date:

Date received electronic data: 1999

Interstate sharing start date: 2016

Law/Statute citation: NY Public Health Law §§ 12-b; 3309-a; 3331 to 3334; 3337 to 3338; 3343; 3343a; 3370, 3371 to 3373; 3385; 3396

Regulation/Rule citation: NY Code Regs Title 10 §§ 80.67 to - .74; .78; .107; .108; .123

Dr Shopper law/statute: NY Stat. Ann. Penal Law § 178.26, Public Health Law § 3397

Pill Mill law/statute:

Pain Clinic law/statute:

Unauthorized Use or Disclosure: NY Public Health Law § 12-b; 3371

Enacted 42 CFR Part 2:

42 CFR Part 2 Details:

Data collection frequency: Daily or next busines

☑ Requirement for zero-reporting

Frequency of zero-reporting: Every 2 weeks unless a wai

☐ Required Notification to consumers
☑ Mandated Use of Advisory Group
☑ Payment method captured
☑ Ability to id prescriber specialty
☐ Patient consent required before PDMP data release
☐ Ability for users to set thresholds for alert notices
☐ Ability for users to do user-led alert notices
☑ Mandatory E-Prescribing (EPCS)
☐ Compliance Process for Enrollment Mandates
☑ Compliance Process for Query Mandate
☐ ICD-10 Codes Collected
☐ Deceased Patient Field
☐ Engaged in Academic Detailing
☐ Patient ID Required to be Presented to Dispenser
☑ Ability to de-id data
☑ Authority to release de-id data

☑ Data Retention Policy
Data Retention Time: 5 years
☐ All Information Purged
☑ Patient Information Purged
☐ Prescriber Information Purged
☐ Dispenser Information Purged
☐ Drug Information Purged
☐ HIPAA Covered Entity
☑ Identifiable Data to State Health Dept
☐ Identifiable Data to local Health Dept
☐ Certified as CMS Specialized Registry
☑ PDMP Disaster Recovery Plan

ID Types Reported:

De-identified data sharing conditions:

De-Identified Data Retention Details:

☑ Retain De-Identified Data

Record Retention Details:

☑ Patient Notification of Breach

☐ Written Notification of Breach

Patient Breach Notification Method: Mail

Patient Breach Notification Method Other:

E-prescribing required substances: Controlled substances, non-controlled prescription medications

E-prescribing exemptions/waivers: Practitioner applies for a waiver from e-prescribing. They can apply on line or via a hard copy form. The request must contain an explanation of the need for the waiver. This can include technical or economic hardship. That request is reviewed by our

Authority to enforce PDMP mandates: Regulatory/Licensing Board, PDMP

Enrollment and Accounts

☐ Superviser Review/audit of Delegate Accounts   Number of Delegates allows: not limited

☐ Auto Enroll with License Renewal or App

Enrollment Method:

Enrollees may obtain an account by applying electronically.

Practitioner IDs for PDMP Account:

Licensing Board number;

2 Factor Authentication:

2 factor authentication not offered

Criteria for Dispensers to get account to upload data

Must have an individual Health Commerce System (HCS) account to gain access to the PMP. If no professional license, an account issued by BNE.

Criteria for Prescriber delegates to get account:

Prescriber who has HCS account sets up delegate in HCS

Criteria for Dispenser delegates to get account:

Dispenser who has HCS account sets up delegate in HCS

Criteria for PAs to get account:

NY license as PA and has an HCS account

Criteria for Nurse Practitioners to get account:

NY license as NP and has an HCS account

Criteria for other users to get account:

Depending on the role they must be delegates of a licensed practitioner/prescriber and have an HCS account

Requirements for Patients to get PDMP Report:

Must request from the department

Requirements for others to get PDMP Report

Criteria for Online Non-healthcare accounts:

PDMP Data for Epidemiological Purposes:

☐ Law Enforcement On-line access to PDMP   ☑ Law Enforcement Written Request access to PDMP

Law Enforcement Access Method:

Law Enforcement Access Requirements: Subpoena

☐ PDMP users validated with DEA Registration File   ☑ PDMP Access without DEA Number

☐ PDMP users validated with NPI File   Validation Process for   We use an internal validation system where

☑ PDMP users validated with State Licensing Board File users without DEA #:   all PMP users are required to get an account with our Health Commerce System. We validate off the practitioner's professional license. For designees who do not have professional licenses, they must be designated in the HCS system by a practitioner who is an authorized user. the non licensed user can obtain an HCS account as an unlicensed profession - which then provides them with an HCS ID. and then the designator (a practitioner with a professional license who has been confirmed as an authorized user) then can designate their HCS account as a designee.

Enrollment Mandates

Use Mandates

Prescriber - Mandatory PDMP Use

Effective Date(s): August 27, 2013

Details:

Effective 8-27-13. Exceptions to the duty to consult prior to writing a controlled substance prescription in Schedules II-IV are: Practitioner administering a controlled substance; For use within an institutional dispenser; Emergency Department (if limited to a 5 day supply); Practitioner is unable to access in a timely manner (5 day supply); Consultation would adversely impact a patient's medical condition; Hospice; Methadone programs; Technological failure of PMP or practitioner's hardware; Practitioner has been granted a waiver by DOH based on technological limitations or exceptional circumstances not within practitioner's control. Requires practitioners to consult the PMP prior to making or issuing a certification of a serious condition requiring the use of medical marijuana; Requires dispensers to check the PMP to ensure that a patient is not receiving greater than a 30 day supply. Effective 11-20-15, Residential treatment programs for individuals with substance use disorders must query the PDMP prior to admitting the patient to determine any and all medications which may be prescribed to the patient or prospective patient; requires chemical dependence outpatient and opioid treatment programs to query the PDMP prior to admitting a new patient to determine any and all medications which may be prescribed to a patient or prospective patient and requires that patients admitted to opioid medical maintenance have verified stability in the PDMP and that PDMP checks be performed as clinically indicated. Effecitve 4-3-20, every practitioner shall consult the PDMP prior to making or issuing a certification for medical cannabis, for the purpose of reviewing a patient's controlled substance history.

Training on Enrollment and Use

Prescriber - Training Provided   Online video; User Guides

PDMP Queries

☐ Ability to search for multiple patients in one query   Maximum number of patients in one query: 30

Patient Query Date Range: 1 year   Other Query Date Range:

Minimum data elements to query for healthcare user: First name, last name, DOB

Query by partial data elements by healthcare user:

Optional data elements to query by healthcare user:

Minimum data elements to query for non-healthcare user: First name, last name, DOB

Query by partial data elements by non-healthcare user:

Optional data elements to query by non-healthcare user:

Unsolicited Reports/Push Notifications

Frequency unsolicited alerts/reports generated:

Delivery method for unsolicited alerts/reports:

Delivery method for unsolicited alerts/reports - LE:

Delivery method for unsolicited alerts/reports - Boards:

☐ Unsolicited alerts/reports to practitioners not enrolled in PDMP

Veterinary Policies

Data transmission frequency for Veterinarians: Daily
Criteria for veterinarian queries: Vets report but do not search

☐ Veterinarian access to owner's prescription history   ☑ Veterinary Icon on PDMP Report
☑ Reporting Specifications

Reporting Method: Electronic
Reporting Specifications:
Data Fields:
Reporting Description: Veterinarians are required to report

☑ Reporting Mandate Compliance
Reporting Compliance Details:
Reporting Mandate Actions:
Reporting Issues:
Reporting Misc Information:

☐ Enrollment Mandate Compliance
Enrollment Description: Veterinarians are exempted from enrollment as data requesters
Enrollment Mandate Compliance Details:
Enrollment Mandate Criteria:

☐ Query Mandate Compliance
Query Description: Veterinarians are not permitted by statute/rule/policy to query
Query Mandate Compliance Details:
Query Mandate Criteria:

PDMP Effectiveness Measures

Reduction in morphine milligram equivalents (MMEs) prescribed or dispensed

Reduction in number of fatal or non-fatal overdoses

Reduction in incidence of multiple provider episodes

Reduction in number of opioid prescriptions issued

Reduction in number of benzodiazepine prescriptions issued

Substance Use Disorder Activities

Tools or Resources

☐ MAT Services

☐ MOUD Services

☐ Harm Reduction Strategies

☐ Mental Health Assistance Services

☐ Employee Assistance Programs

☐ Housing Assistance Programs

☐ Re-Entry Programs

Other:

NYS NYS Office of Addiction Services and Supports (OASAS)

Surveillance Activities

☐ Emergency Department Data   ☐ Medical Examiner/Coroner Data

Data Sources for Surveillance Activities:

Initiated Actions

☐ Resources to Affected Areas

☐ Directed Training on Prescribing

☐ Prescription Drug Tool Kits

☐ Risk Evaluation/Analysis on PDMP Reports

☐ Referrals to SUD Organizations

☐ Referrals to OFR Teams

Other Actions: